Company

Company Tracking Number: AS-980C (12/10)

TOI: A02.1G Group Annuities - Deferred Non- Sub-TOI: A02.1G.002 Flexible Premium

Variable and Variable

Product Name: L-Share Data Page Modifications

Project Name/Number: /

## Filing at a Glance

Company: SunAmerica Annuity and Life Assurance Company

Product Name: L-Share Data Page SERFF Tr Num: SALA-127075960 State: Arkansas

Modifications

TOI: A02.1G Group Annuities - Deferred Non- SERFF Status: Closed-Approved- State Tr Num: 48237

Variable and Variable Closed

Sub-TOI: A02.1G.002 Flexible Premium Co Tr Num: AS-980C (12/10) State Status: Approved-Closed

Filing Type: Form Reviewer(s): Linda Bird

Authors: Tina Smith, Aly Lopez Disposition Date: 03/18/2011

Date Submitted: 03/14/2011 Disposition Status: Approved-

Closed

Implementation Date Requested: Implementation Date:

State Filing Description:

### **General Information**

Project Name: Status of Filing in Domicile: Authorized

Project Number: Date Approved in Domicile:

Requested Filing Mode: Review & Approval Domicile Status Comments: Our domiciliary

state, Arizona, exempts this filing per ARS 20-

1110.F and R20-6-218.

Explanation for Combination/Other: Market Type: Group

Submission Type: New Submission Group Market Size: Small and Large

Group Market Type: Discretionary Overall Rate Impact:

Filing Status Changed: 03/18/2011

State Status Changed: 03/18/2011 Deemer Date:

Created By: Aly Lopez Submitted By: Aly Lopez

Corresponding Filing Tracking Number:

Filing Description:

The above mentioned out-of-state group annuity Certificate Data Page 3 is being submitted for your review and approval. We are respectfully requesting an expedited review. It is a new form and will not replace any form previously approved by your Department. Our domiciliary state, Arizona, exempts this filing per ARS 20-1110.F and R20-6-218.

SERFF Tracking Number: SALA-127075960 State: Arkansas
Filing Company: SunAmerica Annuity and Life Assurance State Tracking Number: 48237

Company

Company Tracking Number: AS-980C (12/10)

TOI: A02.1G Group Annuities - Deferred Non- Sub-TOI: A02.1G.002 Flexible Premium

Variable and Variable

Product Name: L-Share Data Page Modifications

Project Name/Number:

Certificate Data Page AS-980C (12/10) is submitted as similar to previously approved form AS-980C (8/07) approved on 10/19/07 with the only difference being the contract maintenance fee as shown on the attached redline. Other than the mentioned change, the Data Page 3 remains the same as the previously approved version.

To the best of our knowledge and belief, this submission is in compliance with the statutes and regulations of your state and contains nothing that has been previously objected to or disapproved by your Department. No part of this filing contains any unusual or possibly controversial items from normal company or industry standards.

Readability is not applicable to the Certificate Data Page.

Thank you for your attention to this filing. A postage paid self-addressed envelope is enclosed for your use in replying. If you have any questions regarding this filing, please call me at the following toll free telephone number (800) 871-2000 x6195 or fax (310) 772-6569 or email alopez@sunamerica.com.

## **Company and Contact**

### **Filing Contact Information**

Tina Smith, Contract Analyst III tsmith@sunamerica.com

1999 Ave of the Stars 800-871-2000 [Phone] 6209 [Ext]

37th Floor 310-772-6569 [FAX]

Los Angeles, CA 90067

Filing Company Information

SunAmerica Annuity and Life Assurance CoCode: 60941 State of Domicile: Arizona

Company

1999 Ave of the Stars Group Code: Company Type: Annuity

37th Floor Group Name: AIG State ID Number:

Los Angeles, CA 90067 FEIN Number: 86-0198983

(800) 871-2000 ext. [Phone]

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# **Filing Fees**

Fee Required? Yes
Fee Amount: \$50.00
Retaliatory? No

SERFF Tracking Number: SALA-127075960 State: Arkansas

Filing Company: SunAmerica Annuity and Life Assurance State Tracking Number: 48237

Company

Company Tracking Number: AS-980C (12/10)

TOI: A02.1G Group Annuities - Deferred Non- Sub-TOI: A02.1G.002 Flexible Premium

Variable and Variable

Product Name: L-Share Data Page Modifications

Project Name/Number:

Fee Explanation: \$50.00 per form

Per Company: No

COMPANY AMOUNT DATE PROCESSED TRANSACTION #

SunAmerica Annuity and Life Assurance \$50.00 03/14/2011 45547326

Company

Company

Company Tracking Number: AS-980C (12/10)

TOI: A02.1G Group Annuities - Deferred Non- Sub-TOI: A02.1G.002 Flexible Premium

Variable and Variable

Product Name: L-Share Data Page Modifications

Project Name/Number:

# **Correspondence Summary**

### **Dispositions**

Status	Created By	Created On	Date Submitted
Approved-	Linda Bird	03/18/2011	03/18/2011

SERFF Tracking Number: SALA-127075960 State: Arkansas

Filing Company: SunAmerica Annuity and Life Assurance State Tracking Number: 48237

Company

Company Tracking Number: AS-980C (12/10)

TOI: A02.1G Group Annuities - Deferred Non- Sub-TOI: A02.1G.002 Flexible Premium

Variable and Variable

Product Name: L-Share Data Page Modifications

Project Name/Number: /

# **Disposition**

Disposition Date: 03/18/2011

Implementation Date:

Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

Company

Company Tracking Number: AS-980C (12/10)

TOI: A02.1G Group Annuities - Deferred Non- Sub-TOI: A02.1G.002 Flexible Premium

Variable and Variable

Product Name: L-Share Data Page Modifications

Project Name/Number: /

Schedule	Schedule Item	Schedule Item Status Public Access
Supporting Document	Flesch Certification	No
Supporting Document	Application	No
Supporting Document	Life & Annuity - Acturial Memo	No
Supporting Document	Redline	Yes
Form	Certificate Data Page	Yes

Company

Company Tracking Number: AS-980C (12/10)

TOI: A02.1G Group Annuities - Deferred Non- Sub-TOI: A02.1G.002 Flexible Premium

Variable and Variable

Product Name: L-Share Data Page Modifications

Project Name/Number: /

### **Form Schedule**

**Lead Form Number:** 

Schedule Item	Form Number	Form Type Form Name	Action	Action Specific  Data	Readability	Attachment
item	Number			Data		
Status						
	AS-980C (12/10)	Data/DeclarCertificate Data PageInitial ation Pages		0.000	AS-980C (12- 10) Cert Dt	
						Pg.pdf

### **CERTIFICATE DATA PAGE**

Certificate Number: Annuity Service Center:

*P*999999999 P. O. BOX 54299

LOS ANGELES, CA 90054-0299

Participant: Age at Issue:

JOHN DOE 35

Annuitant: Initial Purchase Payment:

JOHN DOE \$25,000.00

**Specified Annuity Date:** Certificate Date:

June 7, 2037 March 1, 2011

Latest Annuity Date: Fixed Account Options

March 1, 2071 Minimum Guarantee Rate:

[1.0% - 3.0%]

**Beneficiary:** 

As named by You

Separate Account Charge: Annual Certificate Maintenance Fee:

[1.65%] \$50.00

Of the above percentage, the charge for guaranteed death benefit risk is [0%-0.35%]

Separate Account: [Variable Annuity Account Five]

Optional Elections: Optional Election Details:

Maximum Anniversary Value See Attached Endorsement ASE-6255

Withdrawal Charge Schedule: NONE

For Inquiries [Call 1-800-445-7862]

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Company

Company Tracking Number: AS-980C (12/10)

TOI: A02.1G Group Annuities - Deferred Non- Sub-TOI: A02.1G.002 Flexible Premium

Variable and Variable

Product Name: L-Share Data Page Modifications

Project Name/Number:

# **Supporting Document Schedules**

Item Status: Status

Date:

Satisfied - Item: Redline

Comments:

**Attachment:** 

AS-980C (12-10) Cert Dt Pg RED.pdf

### **CERTIFICATE DATA PAGE**

Certificate Number: Annuity Service Center:

*P*999999999 P. O. BOX 54299

LOS ANGELES, CA 90054-0299

Participant: Age at Issue:

JOHN DOE 35

Annuitant: Initial Purchase Payment:

JOHN DOE \$25,000.00

Specified Annuity Date: Certificate Date:

June 7, 2037 March 1, 2011

Latest Annuity Date: Fixed Account Options

March 1, 2071 Minimum Guarantee Rate:

[1.0% - 3.0%]

**Beneficiary:** 

As named by You

Separate Account Charge: Annual Certificate Maintenance Fee:

[1.65%] \$3550.00

Of the above percentage, the charge for guaranteed death benefit risk is [0%-0.35%]

**Separate Account:** [Variable Annuity Account Five]

Optional Elections: Optional Election Details:

Maximum Anniversary Value See Attached Endorsement ASE-6255

Withdrawal Charge Schedule: NONE

For Inquiries [Call 1-800-445-7862]